

DIGITAL ONBOARDING GUIDE FOR NEW ASIA SECURITIES STOCK BROKERAGE CLIENTS - LOCAL COMPANIES

Once the initiator has registered him/herself via the [Digital Onboarding Portal for Local Companies on the CDS website \(https://acc-lc.cse.lk/\)](https://acc-lc.cse.lk/), they can start the application process by following the steps shown below.

Step 1:

LOCAL COMPANY REGISTRATION

Local Company Registration

INITIATOR'S DETAILS | COMPANY DETAILS | KYC PROFILE | DOCUMENT UPLOAD | APPROVER DETAILS ENTRY | SUBMIT

Name:

Designation:

Mobile number: E-mail address:

Which identification number do you prefer? NIC Passport

NIC number:

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Step 2:

LOCAL COMPANY REGISTRATION

Local Company Registration

INITIATOR'S DETAILS | COMPANY DETAILS | KYC PROFILE | DOCUMENT UPLOAD | APPROVER DETAILS ENTRY | SUBMIT

Select your preferred stockbroker: Is there an existing CDS Account? Yes No

Name of Company:

Is the correspondence address as same as the Registered address? Yes No

Registered Address: ADDRESS LINE 1 ADDRESS LINE 2 ADDRESS LINE 3

Step 3:

LOCAL COMPANY REGISTRATION 🔍

Email to receive eStatement *

Please state a general corporate e-mail for the purpose of receiving eStatements. If you require to receive CDS account statements pertaining to your CDS account in printed form, please submit a request in writing to the CDS after subscribing for the eStatement.

Company telephone *

Company fax *

Company mobile *

Date of Incorporation

Place of Incorporation *

Step 4:

LOCAL COMPANY REGISTRATION 🔍

Date of Incorporation

Place of Incorporation *

Company Registration Number *

Bank details to receive dividends & coupons

Bank code

Branch code

Account number *

Please attach a proof to verify the Name,Bank, Branch & the Bank account number.

Attachment

Step 5:

LOCAL COMPANY REGISTRATION

Local Company Registration

INITIATOR'S DETAILS COMPANY DETAILS **KYC PROFILE** DOCUMENT UPLOAD APPROVER DETAILS ENTRY SUBMIT

Nature of the business (Product/Service provided) _____

Expected value of investment per annum — Select — _____

Source of funds

- Business Ownership
- Business Turnover
- Investments
- Contract Proceeds
- Investment Proceeds/Savings
- Sale of Property/Assets
- Gifts
- Donations/Charities (Local/Foreign)
- Commission Income
- Export Proceeds
- Profits

Step 6:

LOCAL COMPANY REGISTRATION

Are you a US person in terms of the Foreign Account Tax Compliance Act (FATCA) of the US? Yes No

In the event if we become a US person under the FATCA of US, we hereby undertake to inform the said fact to the Participant immediately.

Politically Exposed Persons (PEPs) Yes No

Do you have persons who are or have been entrusted domestically/internationally with a prominent public function (for example Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials) as members of senior management or individuals who have been entrusted with equivalent functions, i.e directors, deputy directors and members of the board or equivalent functions.

Any other Connected Business/Professional Activities Yes No

Is the Person(s) authorized to give instructions to the Participant same as the Person(s) authorized to give instructions to the CDS? Yes No

Person(s) authorized to give instructions to the Participant One person Two persons

Name _____

Designation _____

Telephone _____

Fax _____

Step 7:

LOCAL COMPANY REGISTRATION

Local Company Registration

INITIATOR'S DETAILS COMPANY DETAILS KYC PROFILE DOCUMENT UPLOAD

APPROVER DETAILS ENTRY SUBMIT

Certificate of Incorporation

Has the company changed its name Yes No

Is the company listed in the Colombo Stock Exchange Yes No

Board Resolution

Step 8:

LOCAL COMPANY REGISTRATION

APPROVER DETAILS ENTRY SUBMIT

Two Approvers Yes No

Approver 1	Approver 2
Name: <input type="text"/>	Name: <input type="text"/>
Designation: Director <input type="radio"/> Yes <input checked="" type="radio"/> No	Designation: Director <input type="radio"/> Yes <input checked="" type="radio"/> No
Specify <input type="text"/>	Specify <input type="text"/>
Upload the board resolution or indicate the relevant section of the articles of association authorizing the approver.	Upload the board resolution or indicate the relevant section of the articles of association authorizing the approver.
<input type="button" value="ATTACH FILE"/>	<input type="button" value="ATTACH FILE"/>

Step 9:

LOCAL COMPANY REGISTRATION

APPROVER DETAILS ENTRY SUBMIT

<input type="checkbox"/> Terms and Condition for CDS Account Openings	Download
<input type="checkbox"/> Terms and Condition for Digital Account Opening Corporates	Download
<input type="checkbox"/> Declaration Part I	Download
<input type="checkbox"/> Declaration Part II	Download
<input type="checkbox"/> Declaration Part III	Download

Litigation Yes No